

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant: **David F. Bocian, et al.**Application No.: **10/040,059**Filed: **October 26, 2001**Entitled: **FORMATION OF SELF-ASSEMBLED MONOLAYERS OF REDOX SAMs ON SILICON FOR MOLECULAR MEMORY APPLICATIONS****The Regents of the University , a
of California****University**

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. the assignee of the entire right, title, and interest; or
2. an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. [X] An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

OR

B. [] A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet

[X]Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Date

Signature

Linda S. Stevenson

Typed or printed name

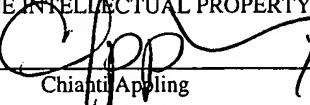
Manager, Patent Prosecution

Title

O I P E
APR 29 2002
U.S. PATENT & TRADEMARK OFFICE
SC117

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Assistant Commissioner for Patents,
Attn: Box Missing Parts,
Washington, D.C. 20231, on April 19, 2002.

QUINE INTELLECTUAL PROPERTY LAW GROUP P.C.

By 

Chianti Appling

Attorney Docket No. 407T-300800US
Client Ref. 2001-473-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

David F. Bocian et al.

Examiner: Unassigned

Application No.: 10/040,059

Art Unit: 2618

Filed: October 26, 2001

TRANSMITTAL LETTER - RESPONSE
TO NOTICE OF MISSING PARTS

For: **FORMATION OF SELF-ASSEMBLED
MONOLAYERS OF REDOX SAMs ON
SILICON FOR MOLECULAR MEMORY
APPLICATIONS**

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the "Notice to File Missing Parts of Application - Filing Date Granted" dated *March 22, 2002*, enclosed are the following to be made of record in the above-identified application:

05/16/2002 SSALEEKU 0000007 500893 10040059 Executed Declaration
01 FC:105 130.00 CH 2) Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b)
Adjustment date: 05/14/2002 SSALEEKU
05/01/2002 BABRAHAI 00000093 500893 10040059 (+ copy of Assignment - not for recordation-note: The Assignment for
01 FC:205 65.00 CR recordation is being sent concurrently under separate cover to Box
Assignment, pursuant to the MPEP @ Page 300-M and Form PTO-
1619A)
3) Copy of Notice of Missing Parts
4) Fee transmittal sheet

05/01/2002 BABRAHAI 00000093 500893 10040059
01 FC:205 65.00 CH

- 5) Transmittal Sheet
- 6) Receipt indication postcard

Please charge Deposit Account No. 50-0893 for the following fees:

Large entity:	(a) Filing Fee (§ 1.16(a)) (Large Entity)	\$
	(b) Excess Claims Fees (§ 1.16(b), (c)):	
	____ - 20 = ____ x 18 =	\$ _____
	____ - 3 = ____ x 84 =	\$ _____
	(c) Missing Parts Surcharge	\$ 65.00
	TOTAL FEES TO BE CHARGED	\$ <u>65.00</u>

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 50-0893.

Respectfully submitted,



Tom Hunter, J.D., Ph.D.
Reg. No. 38,498

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.
P.O. BOX 458
Alameda, CA 94501
(510) 337-7871
Fax (510) 337-7877

Please type a plus sign (+) inside this box →

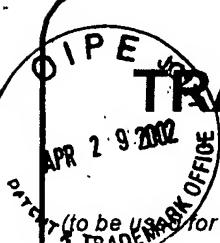
(Modified) PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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03 CO 88

TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)



Total Number of Pages in This Submission

Application Number	10/040,059 ✓
Filing Date	October 26, 2001
First Named Inventor	David F. Bocian
Group Art Unit	2818
Examiner Name	Unassigned
Attorney Docket Number	407T-300800US

ENCLOSURES (check all that apply)

Fee Transmittal Form
 Fee Attached

Amendment / Response
 After Final
 Affidavits/declaration(s)

Extension of Time Request

Express Abandonment Request

Information Disclosure Statement

Certified Copy of Priority Document(s)

Response to Missing Parts/ Incomplete Application

Response to Missing Parts under 37 CFR 1.52 or 1.53

Assignment Papers (for an Application)
 Drawing(s)
 Licensing-related Papers
 Petition Routing Slip (PTO/SB/69) and Accompanying Petition
 Petition to Convert to a Provisional Application
 Power of Attorney, Revocation Change of Correspondence Address
 Terminal Disclaimer
 Small Entity Statement
 Request for Refund

After Allowance Communication to Group
 Appeal Communication to Board of Appeals and Interferences
 Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
 Proprietary Information
 Status Letter
 Additional Enclosure(s) (please identify below):
 receipt acknowledgment postcard
 Copy of Notice to File Missing Parts

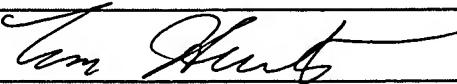
Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name Tom Hunter, Reg. No. 38,498, Quine Intellectual Property Law Group P.C.

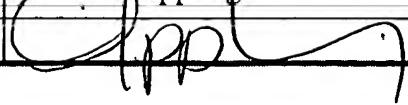
Signature 

Date April 19, 2002

CERTIFICATE OF MAILING

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Typed or printed name Chianti Appling

Signature 

Date

04/19/02

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FEE TRANSMITTAL

for FY2002

APR 29 2001
 Patent fees are subject to annual revision.
 Small Entity payments must be supported by a small entity statement,
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
 TRADE

TOTAL AMOUNT OF PAYMENT (\$)

Complete If Known	
Application Number	10/040,059
Filing Date	October 26, 2001
First Named Inventor	David F. Bocian
Examiner Name	Unassigned
Group / Art Unit	2818
Attorney Docket No.	407T-300800US

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **50-0893**
 Deposit Account Name Quine Intellectual Property Law Group, P.C.

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. Payment Enclosed:
 Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	20**	=	X =
Independent Claims	- 3**	=	X =
Multiple Dependent			=

** or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 690	246 345	Filing a submission after final rejection (37 CFR 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

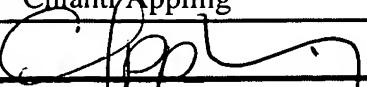
Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 65.00)

SUBMITTED BY

Typed or Printed Name	Tom Hunter	Reg. Number	38,498
Signature		Date	04/19/02

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Signature		Date	04/19/02